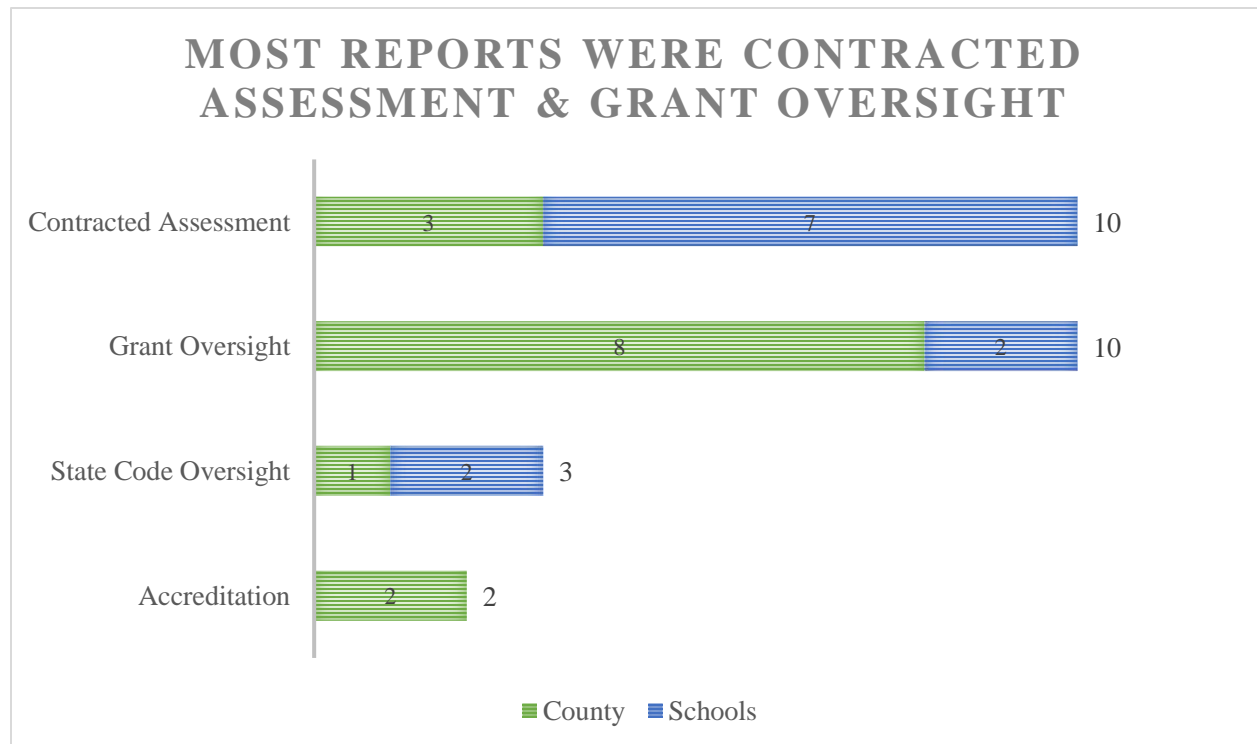
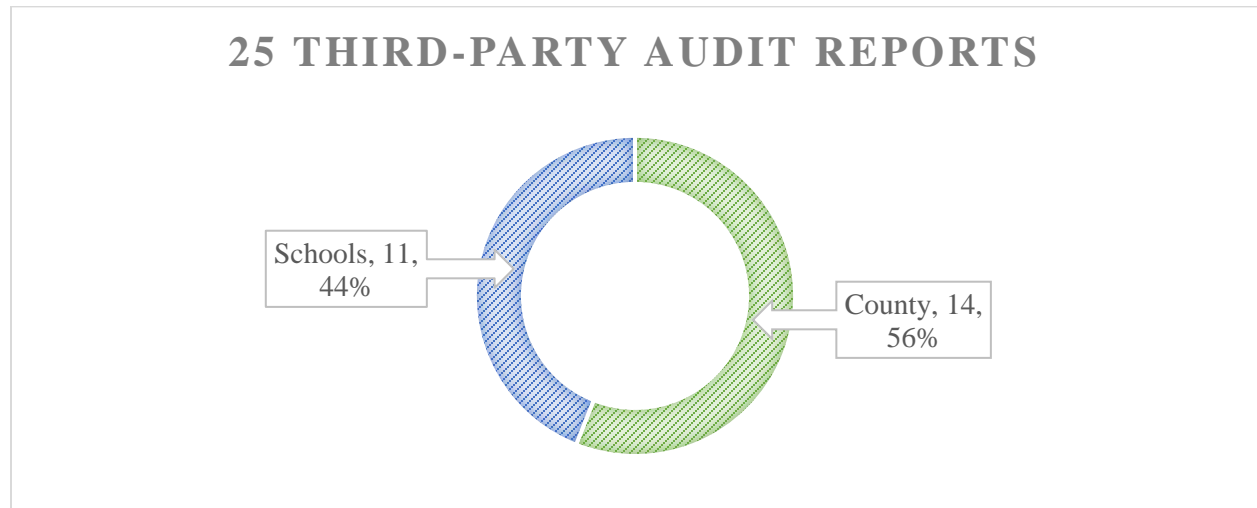




We believe our work provides an objective summary of third-party audit or other analysis reports gathered by Internal Audit. However, this information summary does not constitute an audit conducted in accordance with generally accepted government auditing standards (GAGAS).

**RESULTS SUMMARY**

County and School departments provided twenty-five third-party audit or other analysis reports received in FY21 to Internal Audit. Details are provided beginning on page five and showed:





## COUNTY – 14 Reports from 7 Departments

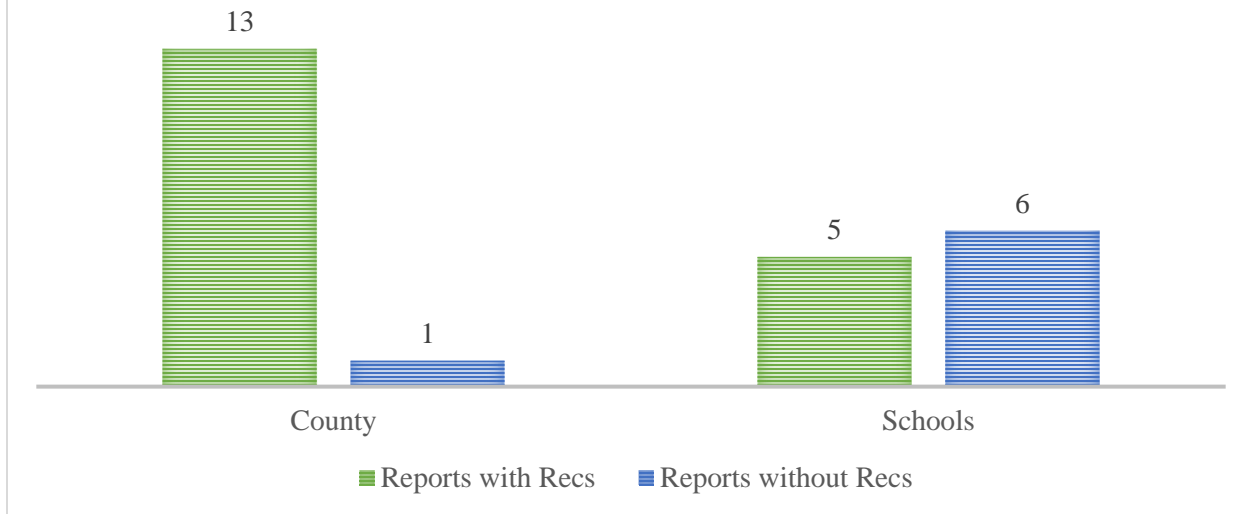
Contracted Assessment	<ul style="list-style-type: none"> <li>• Communications and Media (1)</li> <li>• General Services (1)</li> <li>• Human Resources (1)</li> </ul>
Grant Oversight	<ul style="list-style-type: none"> <li>• Social Services (8)</li> </ul>
State Code Oversight	<ul style="list-style-type: none"> <li>• Real Estate Assessments (1)</li> </ul>
Accreditation	<ul style="list-style-type: none"> <li>• Police (1)</li> <li>• Utilities (1)</li> </ul>



## SCHOOLS – 11 Reports from 6 Departments

Contracted Assessment	<ul style="list-style-type: none"> <li>• Compensation and Benefits (1)</li> <li>• Construction (5)</li> <li>• Technology (1)</li> </ul>
Grant Oversight	<ul style="list-style-type: none"> <li>• Finance (1)</li> <li>• Food and Nutrition Services (1)</li> </ul>
State Code Oversight	<ul style="list-style-type: none"> <li>• Student Transportation (2)</li> </ul>

## MOST REPORTS INCLUDED DEPARTMENT RECOMMENDATIONS



We appreciate the County and School departments' assistance during this project. Please contact us if you have any questions or if we can be of further assistance.

Copy: Jesse Smith, Deputy County Administrator, Community Development  
Clay Bowles, Deputy County Administrator, Community Operations  
Matt Harris, Deputy County Administrator, Finance and Administration  
Dr. James Worsley, Deputy County Administrator, Human Services  
Robert Meister, Chief Financial Officer  
Dr. Kim Hough, Chief of Human Resources and Talent Management  
Josh Davis, Chief Operations Officer  
Dr. Timothy Tillman, Chief Technology Officer

#	Entity	Division	Department	Date	Title	Description	Recommendations
<b>CONTRACTED ASSESSMENT REPORTS:</b>							
1	County	Community Operations	General Services	7/1/2020	Physical Security and Risk Assessment	Physical security risk assessment of selected sites.	Yes
2	County	County Management	Human Resources	10/28/2020	Classification and Compensation Study and Pay Plan Development for Public Safety	Compensation study of public safety departments to provide information on current compensation structure.	Yes
3	County	Finance and Administration	Communications and Media	12/17/2020	Social Media Audit	Provide information on the state of social media, local government digital communications best practices, an assessment of current efforts.	Yes
4	Schools	Human Resources and Talent Management	Compensation and Benefits	11/30/2020	FY21 Compensation Study, School-based Positions Findings & Recommendations; Teacher Recruitment Strategies	Compensation study of teachers and other student-facing positions to provide information on the market competitiveness and internal equity of pay rates.	Yes
5	Schools	Operations	Construction	7/9/2020	Facility Condition Assessment, Matoaca Middle School	Facility condition assessment provides information on buildings general condition on the day of site visit.	Yes
6	Schools	Operations	Construction	10/23/2020	Facility Condition Assessment, Manchester Middle School	Facility condition assessment provides information on buildings general condition on the day of site visit.	No
7	Schools	Operations	Construction	10/28/2020	Facility Condition Assessment, Harrowgate Elementary School	Facility condition assessment provides information on buildings general condition on the day of site visit.	No

#	Entity	Division	Department	Date	Title	Description	Recommendations
8	Schools	Operations	Construction	10/31/2020	Facility Condition Assessment, Providence Middle School	Facility condition assessment provides information on buildings general condition on the day of site visit.	<b>Yes</b>
9	Schools	Operations	Construction	11/30/2020	Facility Condition Assessment, Matoaca Elementary School	Facility condition assessment provides information on buildings general condition on the day of site visit.	No
10	Schools	Technology	Technology	1/25/2021	External Penetration Test Executive Summary	External penetration testing of system.	<b>Yes</b>

#	Entity	Division	Department	Date	Title	Description	Recommendations
<b>GRANT OVERSIGHT REPORTS:</b>							
1	County	Human Services	Social Services	8/13/2020	Virginia Department of Social Services Quality Assurance and Accountability Review Report for Chesterfield-Colonial Heights Department of Social Services	5/31/20 grant compliance monitoring of Social Services' foster care program performed by State Department of Social Services.	<b>Yes</b>
2	County	Human Services	Social Services	11/30/2020	Virginia Department of Social Services Quality Assurance and Accountability Review Report for Chesterfield-Colonial Heights Department of Social Services	8/31/20 grant compliance monitoring of Social Services' foster care program performed by State Department of Social Services.	<b>Yes</b>
3	County	Human Services	Social Services	December 2020	Sub-recipient Monitoring Summary Report for Chesterfield/Colonial Heights Department of Social Services, Medical Assistance Program	Grant compliance monitoring of Social Services' FY21 Medical Assistance Program performed by State Department of Social Services.	<b>Yes</b>
4	County	Human Services	Social Services	December 2020	Sub-recipient Monitoring Summary Report for Chesterfield/Colonial Heights Department of Social Services, Supplemental Nutrition Assistance Program	Grant compliance monitoring of Social Services' November 2020 Supplemental Nutrition Assistance Program performed by State Department of Social Services.	<b>Yes</b>
5	County	Human Services	Social Services	December 2020	Sub-recipient Monitoring Summary Report for Chesterfield/Colonial Heights Department of Social Services, Temporary Assistance for Needy Families and Virginia Initiative for Employment not Welfare Programs	Grant compliance monitoring of Social Services' November 2019 thru October 2020 Supplemental Nutrition Assistance Program performed by State Department of Social Services.	<b>Yes</b>

#	Entity	Division	Department	Date	Title	Description	Recommendations
6	County	Human Services	Social Services	2/26/2021	Virginia Department of Social Services Quality Assurance and Accountability Review Report for Chesterfield-Colonial Heights Department of Social Services	11/30/20 grant compliance monitoring of Social Services' foster care program performed by State Department of Social Services.	Yes
7	County	Human Services	Social Services	3/16/2021	Virginia Department of Social Services Supplemental Nutrition Assistance Program Management Evaluation	Grant compliance monitoring of Social Services' FY21 Supplemental Nutrition Assistance Program performed by State Department of Social Services.	Yes
8	County	Human Services	Social Services	5/25/2021	Virginia Department of Social Services Quality Assurance and Accountability Review Report for Chesterfield-Colonial Heights Department of Social Services	2/28/21 grant compliance monitoring of Social Services' Foster Care Program performed by State Department of Social Services.	Yes
9	Schools	Finance	Finance	6/17/2021	Restorative Justice Remote Site Visit Report	Grant compliance monitoring performed by State Department of Criminal Justice Services.	No
10	Schools	Finance	Food and Nutrition Services	5/20/2021	Combined Child and Adult Care Food Program and Summer Food Service Program Administrative Review	Grant compliance monitoring performed by State Department of Education.	Yes



#	Entity	Division	Department	Date	Title	Description	Recommendations
<b>STATE CODE OVERSIGHT REPORTS:</b>							
1	County	Finance and Administration	Real Estate Assessments	January 2021	The 2019 Virginia Assessment/Sales Ratio Study	State Department of Taxation 2019 annual real property assessment/sales ratio study. Report includes information on Virginia localities' compliance with VA Code specified assessment level parameters.	No
2	Schools	Operations	Student Transportation	5/20/2021	CDL Third Party Tester Audit Report	Annual FY20 audit of Transportation's training department performed by State Department of Motor Vehicles.	No
3	Schools	Operations	Student Transportation	5/20/2021	CDL Third Party Tester Audit Report	Annual FY21 audit of Transportation's training department performed by State Department of Motor Vehicles.	No

#	Entity	Division	Department	Date	Title	Description	Recommendations
<b>ACCREDITATION REPORTS:</b>							
1	County	Community Development	Utilities	6/30/2021	Virginia Environmental Laboratory Accreditation Program	Accreditation compliance monitoring of Utilities' Wastewater Treatment Plant performed by State Department of General Services.	<b>Yes</b>
2	County	County Management	Police	3/22/2021	Law Enforcement Accreditation, Chesterfield County Police Department	Reaccreditation assessment performed by Commission on Accreditation for Law Enforcement Agencies, Inc.	<b>Yes</b>